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1 TITLE OF THE INVENTION

2 **Data Modulation Method and Apparatus**

3 BACKGROUND OF THE INVENTION

4 Field of the Invention

5 The present invention relates generally to recording of digital signals  
6 on optical or magnetic discs and more specifically to a data modulation  
7 method and apparatus for converting a data bit stream to a channel bit  
8 stream having a small d.c. content (or digital sum value).

9 Description of the Related Art

10 Prior to recording on an optical or magnetic disc, the format of a  
11 digital signal is converted, or modulated into a format appropriate for the  
12 characteristics of the disc. DC content is a concern in digital signals, which  
13 alternate between "1" and "0" irregularly. If there is an imbalance between  
14 the total number of ones or zeros, the imbalance will result in a signal having  
15 a d.c. content. DC content is a concern with magnetic recording heads. If the  
16 magnetic polarity is switched more to one direction than the other, this  
17 polarity imbalance will remain in the head and cause a d.c. offset in its ability  
18 to play back digital signals. DC content is also a concern with a laser  
19 mechanism which reads pits and lands on the surface of an optical disc. In a  
20 digital system, the d.c. content is termed digital sum value (DSV), which is  
21 the difference between the total number of ones and the total number of  
22 zeros. If NRZI waveform is used for recording, the DSV is a total sum of +1  
23 for a bit "1" and -1 for a bit "0", starting from the beginning of a channel bit  
24 stream. The pits and lands are read by a system of lasers that are controlled  
25 by a servo actuator. The actuator must maintain precise alignment with the  
26 tracks on the disc and with the transition boundaries between pit and land  
27 areas. The transitions in the signal, represented by the ones, enable the servo  
28 actuator to maintain correct alignment with the track. Thus, a signal having a  
29 d.c. content will result in the servo actuator drifting out of proper alignment  
30 with the track.

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1 In DVD (Digital Versatile Disc) recording systems, the 8-16 modulation  
2 scheme is employed to convert 8-bit data words of a data bit stream to 16-bit  
3 code words to form a channel bit stream so that the run length of the channel  
4 bit stream is constrained to (2, 10), i.e., the number of 0's that exist between  
5 any two 1's is a minimum of two and a maximum of ten. The 8-16  
6 modulation has the ability to reduce the d.c. content of a signal by selecting a  
7 particular code word from a set of tables based on the DSV of the signal.

8 The 8-16 modulation has a coding rate  $1/2$ . The coding rate is of a  
9 concern to the design of a recording system because higher the coding rate a  
10 longer time is allowed to detect a "1" bit from the channel bit stream. The (1,  
11 7) modulation is another coding scheme known in the art as having a run  
12 length of a minimum of one "0" and a maximum of seven 0's and a coding  
13 rate  $2/3$ . Because of its higher coding rate, the (1, 7) modulation is suitable  
14 for high-density digital recording. However, its d.c. content is high, which  
15 results in a degraded jitter performance if an a.c.-coupled circuit is used in a  
16 playback system and results in a decision threshold varying randomly about  
17 the correct level.

18 Japanese Patent Publication 1998-340543 discloses a d.c.-content  
19 reduction method for the (1, 7) modulation codes by introducing a 6-bit DSV  
20 control code of 3 replacement bits and 3 redundant bits into a data bit stream  
21 at regular intervals prior to conversion to a channel bit stream. However, the  
22 use of the extra 3-bit redundant bits results in a lowering of the coding rate.

23 Another concern to the design of a recording system is a bit pattern in  
24 which bits "1" occur at minimum intervals  $2T$  (where  $T$  is the channel bit  
25 length) such as "010101010". The (1, 7) modulation generates such  $2T$ -bit  
26 patterns frequently as compared to other modulation schemes. Because of  
27 the closely spaced bits "1", inter-symbol interference occurs, making it  
28 difficult to extract clock information from playback signals. Furthermore,  
29 noise is introduced to the decision threshold, resulting in a timing error  
30 which causes a delayed detection of a full  $2T$  bit pattern by the length of a

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1 single bit.

2 SUMMARY OF THE INVENTION

3 It is therefore a primary object of the present invention to provide a  
4 data modulation method and apparatus which reduces the lower frequency  
5 components of a digital signal including the d.c. content without lowering its  
6 coding rate.

7 Another object of the present invention is to provide a data  
8 modulation method and apparatus which reduces the lower frequency while  
9 preventing the repeated occurrence of a 2T bit pattern.

10 The primary object of the present invention is obtained by detecting a  
11 particular bit pattern in a channel bit stream and using a single bit "1" of the  
12 detected pattern as a DSV control bit.

13 According to a first aspect of the present invention, there is provided a  
14 data modulation method comprising the steps of converting an N-bit data  
15 word of a data bit stream to an M-bit code word and storing a plurality of M-  
16 bit code words in a buffer to form a channel bit stream, where the integer M  
17 is greater than the integer N, determining a digital sum value of the channel  
18 bit stream, detecting a bit sequence of a predetermined pattern in the stored  
19 channel bit stream, and replacing a bit "1" of the detected bit sequence with a  
20 bit "0" if the replacement results in the digital sum value approaching zero.

21 The channel bit stream is searched for detecting a bit sequence  
22 "010.101.010". If such a bit sequence is detected, it is replaced with a  
23 substitute bit sequence "000. 000. 000".

24 According to a second aspect, the present invention provides a data  
25 modulation method comprising the steps of mapping a plurality of 4-bit data  
26 words to a plurality of 3-bit code words in a memory, segmenting a data bit  
27 stream into a plurality of 4-bit data words, converting higher significant two  
28 bits of each 4-bit data word to a 3-bit code word correspondingly mapped to  
29 the 4-bit data word in said memory so that a channel bit stream having no  
30 consecutive 1's is produced by a plurality of said 3-bit code words,

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1 determining a digital sum value of the channel bit stream, detecting a first  
2 predetermined one of the 3-bit code words which is consecutive with a  
3 second predetermined one of the 3-bit code words, and replacing the detected  
4 code word with a code word "000" if the replacement results in the digital  
5 sum value approaching zero.

6 According to a third aspect, the present invention provides a data  
7 modulation method comprising the steps of mapping, in a memory, 2-bit  
8 data words "00", "01", "10" and "11" to 3-bit code words "101", "100", "001"  
9 and "010", respectively, and mapping 4-bit data words "0000", "0001",  
10 "1000" and "1001" to 6-bit code words "101000", "100000", "001000" and  
11 "010000", respectively, segmenting a data bit stream into a plurality of 4-bit  
12 data words, converting each of the 4-bit data words to a 6-bit code word  
13 mapped in the memory if the 4-bit data word is coincident with one of the  
14 mapped 4-bit data words and converting higher significant two bits of the 4-  
15 bit data word to a 3-bit code word mapped in the memory if the 4-bit data  
16 word is non-coincident with any of the mapped 4-bit data words so that a  
17 channel bit stream having no consecutive 1's is formed by a plurality of 6-bit  
18 code words and a plurality of 3-bit code words, forming a subsequent 4-bit  
19 data word with lower significant bits of the non-coincident data word,  
20 determining a digital sum value of the channel bit stream, detecting a code  
21 word "010" which occurs immediately following any one of the 6-bit code  
22 words, and replacing the detected code word with a code word "000" if the  
23 replacement results in the digital sum value approaching zero.

24 According to a fourth aspect, the present invention provides a data  
25 modulation method comprising the steps of mapping, in a memory, 2-bit  
26 data words "00", "01", "10" and "11" to 3-bit code words "101", "100", "001"  
27 and "010", respectively, and mapping 4-bit data words "0000", "0001",  
28 "1000" and "1001" to 6-bit code words "101000", "100000", "001000" and  
29 "010000", respectively, segmenting a data bit stream into a plurality of 4-bit  
30 data words, converting each of the 4-bit data words to a 6-bit code word

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1 mapped in the memory if the 4-bit data word is coincident with one of the  
2 mapped 4-bit data words, and converting higher significant two bits of the 4-  
3 bit data word to a 3-bit code word mapped in the memory if the 4-bit data  
4 word is non-coincident with any of the mapped 4-bit data words so that a  
5 channel bit stream having no consecutive 1's is formed by a plurality of 6-bit  
6 code words and a plurality of 3-bit code words, forming a subsequent 4-bit  
7 data word with lower significant bits of the non-coincident data word,  
8 determining a digital sum value of the channel bit stream, detecting a code  
9 word "010000" which occurs immediately following any one of the 3-bit code  
10 words, and replacing the detected code word with a code word "000000" if  
11 the replacement results in the digital sum value approaching zero.

12 According to a fifth aspect, the present invention provides a data  
13 modulation method comprising the steps of mapping, in a memory, 2-bit  
14 data words "00", "01", "10" and "11" to 3-bit code words "101", "100", "001"  
15 and "010", respectively, and mapping 4-bit data words "0000", "0001",  
16 "1000" and "1001" to 6-bit code words "000101", "000100", "000001" and  
17 "000010", respectively, segmenting a data bit stream into a plurality of 4-bit  
18 data words, converting each of the 4-bit data words to a 6-bit code word  
19 mapped in the memory if the 4-bit data word is coincident with one of the  
20 mapped 4-bit data words, and converting higher significant two bits of the 4-  
21 bit data word to a 3-bit code word mapped in the memory if the 4-bit data  
22 word is non-coincident with any of the mapped 4-bit data words so that a  
23 channel bit stream having no consecutive 1's is formed by a plurality of 6-bit  
24 code words and a plurality of 3-bit code words, forming a subsequent 4-bit  
25 data word with lower significant bits of the non-coincident data word,  
26 determining a digital sum value of the channel bit stream, detecting a code  
27 word "010" which is immediately followed by any one of the 6-bit code  
28 words, and replacing the detected code word with a code word "000" if the  
29 replacement results in the digital sum value approaching zero.

30 According to a further aspect, the present invention provides a data

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1 modulation method comprising the steps of mapping, in a memory, 2-bit  
2 data words "00", "01", "10" and "11" to 3-bit code words "101", "100", "001"  
3 and "010", respectively, and mapping 4-bit data words "0000", "0001",  
4 "1000" and "1001" to 6-bit code words "000101", "000100", "000001" and  
5 "000010", respectively, segmenting a data bit stream into a plurality of 4-bit  
6 data words, converting each of the 4-bit data words to a 6-bit code word  
7 mapped in the memory if the 4-bit data word is coincident with one of the  
8 mapped 4-bit data words, converting higher significant two bits of the 4-bit  
9 data word to a 3-bit code word mapped in the memory if the 4-bit data word  
10 is non-coincident with any of the mapped 4-bit data words, forming a  
11 subsequent 4-bit data word with lower significant bits of the non-coincident  
12 data word so that a channel bit stream having no consecutive 1's is formed by  
13 a plurality of the 6-bit code words and a plurality of the 3-bit code words,  
14 determining a digital sum value of the channel bit stream, detecting a code  
15 word "000010" which is immediately followed by any one of the 3-bit code  
16 words, and replacing the detected code word with a code word "000000" if  
17 the replacement results in the digital sum value approaching zero.

#### BRIEF DESCRIPTION OF THE DRAWINGS

18  
19 The present invention will be described in detail further with reference  
20 to the following drawings, in which:

21 Fig. 1 is a block diagram of a data modulation apparatus of the present  
22 invention;

23 Figs. 2A, 2B are illustrations of a main conversion table and a sub-  
24 conversion table, respectively, according to a first embodiment of the present  
25 invention;

26 Fig. 3 is a flowchart of the operation of the data modulation apparatus  
27 according to the first embodiment of the invention;

28 Fig. 4 is an illustration of exemplary bit sequences for describing the  
29 operation of the first embodiment of the present invention;

30 Fig. 5 is a flowchart of the operation of the data modulation apparatus

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- 1 according to a modification of the first embodiment of the invention;  
2 Fig. 6 is an illustration of exemplary bit sequences for describing the  
3 operation of the data modulation apparatus according to the flowchart of Fig.  
4 5;  
5 Figs. 7A, 7B are illustrations of a main conversion table and a sub-  
6 conversion table, respectively, according to a second embodiment of the  
7 present invention;  
8 Fig. 8 is a flowchart of the operation of the data modulation apparatus  
9 according to the second embodiment of the invention;  
10 Fig. 9 is an illustration of exemplary bit sequences for describing the  
11 operation of the second embodiment of the present invention;  
12 Fig. 10 is a flowchart of the operation of the data modulation  
13 apparatus according to a modification of the second embodiment of the  
14 invention;  
15 Fig. 11 is an illustration of exemplary bit sequences for describing the  
16 operation of the modification of the second embodiment;  
17 Fig. 12 is a block diagram of the data modulation apparatus according  
18 to a further modification of the first embodiment of the present invention;  
19 Fig. 13 is a flowchart of the operation of the apparatus of Fig. 12;  
20 Fig. 14 is a block diagram of the data modulation apparatus according  
21 to another modification of the first embodiment of the present invention, in  
22 which 2T periodic channel bit patterns are replaced with zero-bit sequence;  
23 Figs. 15A, 15B are block diagrams of the modifications of Fig. 14;  
24 Fig. 16 is a block diagram of a data modulation apparatus in which  
25 synchronizing bit streams are multiplexed with channel bit streams;  
26 Fig. 17 is an illustration of a sync pattern table associated with the data  
27 modulation apparatus of Fig. 16;  
28 Figs. 18 and 19 are flowcharts associated with the data modulation  
29 apparatus of Fig. 16;  
30 Fig. 20 is a block diagram of a data demodulation apparatus of the



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1 present invention; and

2 Fig. 21 is a graphic representation of the power density versus  
3 normalized frequency for comparison between the present invention and the  
4 prior art (1, 7) modulation.

5 DETAILED DESCRIPTION

6 Referring now to Fig. 1, there is shown a data modulation apparatus  
7 according to a first embodiment of the present invention. The apparatus  
8 comprises a shift register 1 for receiving an input bit stream and supplying  
9 the bit stream as four data bits in parallel to a main converter 2, a sub-  
10 converter 3 and a coincidence detector 4. Main converter 2 and sub-converter  
11 3 respectively include main and sub-conversion tables 110 and 120, as shown  
12 in Figs. 2A and 2B. Main converter 2 reads the higher two bits of the four-bit  
13 parallel data as a 2-bit data word and converts this data word to a 3-bit code  
14 word, or three channel bits according to the main conversion table 110. Sub-  
15 converter 3 converts the four-bit data word to a 6-bit code word, or six  
16 channel bits according to the sub-conversion table 120 if the four data bits  
17 coincide with one of the data bits listed on the left side of the table 120.  
18 Priority is given to the sub-converter 3. If such a coincidence exists in the  
19 sub-conversion table 120, the coincidence detector 4 detects this coincidence  
20 and commands a selector 5 to select the output of sub-converter 3. If no  
21 coincidence exists in the sub-conversion table 120, the coincidence detector 4  
22 commands the selector 5 to select the output of main converter 2.

23 The output of selector 5 is coupled to a buffer 6 and a DSV (Digital  
24 Sum Value) controller 7, to which the output of coincidence detector 4 is also  
25 applied.

26 The operation of the apparatus, particularly the DSV controller 7,  
27 proceeds according to the flowchart of Fig. 3.

28 At step 201, DSV (digital sum value) parameters DSV1 and DSV2 are  
29 set equal to 0 and polarity parameters POL1 and POL2 are both set to +1 and  
30 a DSV control bit is set to "null". At step 202, four data bits are read out of

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1 the shift register 1 and the coincidence detector 4 determines whether the  
2 four data bits match to one of the stored 4-bit data words in the sub-  
3 conversion table 120. If a match is detected in the sub-conversion table 120,  
4 the apparatus determines, at step 203, that sub-conversion table be used for  
5 data modulation. Otherwise, the apparatus determines that the main  
6 conversion table 110 be used. Therefore, the sub-conversion table 120 is given  
7 priority over the main conversion table 110. Thus, only if the input 4  
8 consecutive data bits are non-coincident with any of the 4-bit data words  
9 stored in the sub-conversion table 12, a search is made in the main conversion  
10 table 110 for detecting a match between the input 2 consecutive data bits and  
11 one of the 2-bit data words stored in the main conversion table 110. With  
12 priority given to the sub-conversion table 120 over the main conversion table  
13 110, there is no consecutive 1's in a channel bit stream stored in the buffer 6.

14 If it is determined that the sub-conversion be used, flow proceeds from  
15 step 203 to step 204 to convert the four-bit data to a six-bit code word  
16 according to the sub-table 120.

17 Parameter update subroutine is performed by the DSV controller 7. In  
18 this subroutine, the DSV controller updates the DSV and POL parameters  
19 and DSV control bit, using each of the channel bits.

20 At step 205, a variable "i" is set to a decimal number corresponding to  
21 the MSB (most significant bit) position of the channel bits. If the sub-table 120  
22 has been used in data modulation, the variable "i" is set to the integer 6. At  
23 step 206, the bit in the position "i" is read and examined at step 207 whether  
24 the i-position bit is a DSV control bit.

25 If the i-position bit is other than DSV control bit, flow proceeds from  
26 step 207 to step 208 to check to see if the i-position bit is "1" or "0". If i = 1,  
27 the polarity of POL2 is reversed at step 209 and the polarity of POL1 is  
28 reversed at step 210. At step 211, DSV1 is summed with POL1 and DSV2 is  
29 summed with POL2. If i = 0, the polarity parameters are unchanged and flow  
30 proceeds direct from step 208 to step 211.

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1           If the i-position bit is a DSV control bit, flow proceeds from step 207 to  
2 step 210 to reverse POL1 and proceeds to step 211.

3           The variable "i" is decremented by one at step 213, and steps 205  
4 through 211 are repeated for subsequent bit positions until the variable "i" is  
5 decremented to  $LSB = 1$  at step 212, terminating the parameter update  
6 subroutine.

7           If there is a mismatch between the input four-bit data word and any of  
8 the four-bit data words of sub-conversion table 120, flow proceeds from step  
9 203 to step 221 to convert the two higher significant data bits of the input  
10 four-bit data word to a three-bit code word (three channel bits) using the  
11 main conversion table 110. At step 222, the DSV controller 7 checks to see if  
12 the 3-bit code word coincides with a predetermined code word "010".

13           If the 3-bit code word coincides with "010", flow proceeds to step 223  
14 to determine if the current data modulation is immediately preceded by a  
15 sub-table conversion. If so, flow proceeds to step 224 to the center bit  
16 position of the three channel bits is set in memory to be used as the bit  
17 position of the next DSV control bit. If the current DSV control bit is not in  
18 "null" state (step 225), the absolute values of DSV1 and DSV2 are compared  
19 with each other at step 226 to determine their relative magnitudes. If  
20  $|DSV1|$  is smaller than  $|DSV2|$ , flow proceeds to step 227 in which "1" is set  
21 to the current DSV control bit and POL1 is copied to POL2 and DSV1 is  
22 copied to DSV2. If  $|DSV1|$  is equal to or greater than  $|DSV2|$ , flow  
23 proceeds to step 228 in which "0" is set to the current DSV control bit and  
24 POL2 is copied to POL1 and DSV2 is copied to DSV1. As a result, the d.c.  
25 content of the channel bit stream approaches zero.

26           Steps 227 and 228 are followed by step 229 in which the bit position of  
27 the next DSV control bit, which was set in memory at step 224, is now set as  
28 the bit position of the current DSV control bit, and flow proceeds to step 205  
29 to perform a parameter update subroutine using each bit of the 3-bit code  
30 word. If it is determined, at step 225, that the current DSV control bit is null,

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1 steps 226, 227, 228 are skipped and flow proceeds to step 229.

2 If the 3-bit code word is other than "010", the decision at step 222 is  
3 negative, or a "010" code word is immediately preceded by another 3-bit code  
4 word, the next decision at step 223 is negative. In either case, flow proceeds  
5 to step 205 to perform the parameter update subroutine by skipping steps 224  
6 through 229.

7 When steps 205 to 213 of parameter update subroutine are repeated  
8 until all channel bits are used to update the DSV1 and DSV2 parameters, the  
9 decision at step 213 becomes affirmative and flow proceeds to step 214 to  
10 examine if the end of data is reached. If not, flow returns to step 202 to read  
11 the next four data bits after the shift register 1 is shifted by an amount  
12 corresponding to the number of data bits converted in the immediately  
13 preceding conversion process. If the sub-conversion table 120 was used in  
14 the preceding conversion process, the shift register 1 is shifted by four bits. If  
15 the main conversion table 110 was used, the shift register 1 is shifted by two  
16 bits.

17 When the end of data is reached (step 214), flow proceeds to step 231  
18 to check to see if DSV control bit is null. If so, flow proceeds to the end of  
19 routine. If not, flow proceeds to subroutine consisting of steps 232, 233, 234  
20 to determine the value of the current DSV control bit according to the relative  
21 magnitudes of the DSV1 and DSV2. Steps 232, 233 and 234 correspond in  
22 significance to steps 226, 227 and 228 as they determine the value of a DSV  
23 control bit. Therefore, if it is determined at step 231 that the DSV control bit  
24 is other than null, the absolute values of DSV1 and DSV2 are compared with  
25 each other at step 232 to determine their relative magnitudes. If  $|DSV1|$  is  
26 smaller than  $|DSV2|$ , flow proceeds to step 233 in which "1" is set to the  
27 current DSV control bit and POL1 is copied to POL2 and DSV1 is copied to  
28 DSV2. If  $|DSV1|$  is equal to or greater than  $|DSV2|$ , flow proceeds to step  
29 234 in which "0" is set to the current DSV control bit and POL2 is copied to  
30 POL1 and DSV2 is copied to DSV1. In this manner, the d.c. content of the

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1 channel bit stream approaches zero

2 If a 22-bit input stream of "01. 00. 11. 1000. 11. 10. 1001. 0001" is  
3 supplied to the shift register 1, as shown in Fig. 4, these data bits will be  
4 converted to a 33-bit output stream of "100. 101. 010. 001000. 101. 001. 010000.  
5 100000" and stored in the buffer 6. In the illustrated example, the 17th bit  
6 position of the channel bit stream is set as the position of a DSV control bit. If  
7 this DSV control bit is the first to occur in the channel bit stream, the status of  
8 the current DSV control bit is null. Hence, the decision at step 225 is  
9 affirmative at this point of time and the current DSV control bit changes from  
10 the status of null to the status of next DSV control bit (step 229). The binary 1  
11 of the 17th bit position is set as a temporary value in the buffer 6. If there is  
12 no DSV control bit that follows the DSV control bit of the first occurrence, the  
13 binary of this DSV control bit position is finally determined, at the end of  
14 data bit stream, according to the DSV1 and DSV2 parameters derived from  
15 the channel bits that precede the end point of data. Therefore, if  $|DSV1|$  is  
16 smaller than  $|DSV2|$ , the DSV control bit is unaltered at step 233, allowing  
17 the 010 code word (detected at step 222) to be transmitted intact. If  $|DSV1|$   
18 is equal to or greater than  $|DSV2|$ , the DSV control bit of the second  
19 occurrence is reset to binary 0 at step 234, causing a 000 code word to be  
20 transmitted, instead of the 010 code word. If a second DSV control bit  
21 appears after the first DSV control bit before the end of data, steps 226, 227  
22 and 228 are performed to finally determine the binary of the first DSV control  
23 bit and the binary of the second DSV control bit is finally determined at the  
24 end of data.

25 The first embodiment of the present invention can be modified as  
26 shown in the flowchart of Fig. 5, in which parts corresponding in significance  
27 to those of Fig. 3 are marked with the same numerals and the description  
28 thereof is omitted. In this modification, the parameter update subroutine is  
29 executed immediately following step 221, and steps 301, 302 and 303 are  
30 provided to be executed following step 204.

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1        If the main conversion table 110 is used for data modulation (step 221),  
2 flow proceeds to step 205 to immediately perform the DSV update  
3 subroutine. If the sub-conversion table 120 is used (step 204), the DSV  
4 controller 7 proceeds to step 301 and examines the 6-bit code word to see if it  
5 matches a predetermined code word "010000". If they match, flow proceeds  
6 to step 302 to check to see if this sub-table conversion is immediately  
7 preceded by a main-table conversion. If this is the case, flow proceeds to step  
8 303 to set the second higher significant bit position of the 6-bit code word as  
9 the next DSV control bit, and flow proceeds to step 225.

10        If the decision at each of steps 301 and 302 is negative, flow proceeds  
11 to step 205 to perform the DSV update subroutine.

12        If a 22-bit input stream of "01. 00. 11. 1000. 11. 10. 1001. 0001" is  
13 supplied to the shift register 1, these data bits will be converted to a 33-bit  
14 output stream of "100. 101. 010. 001000. 010. 001. 010000. 100000", as shown in  
15 Fig. 6, with the 23rd bit position being set as the position of a DSV control bit.  
16 If this DSV control bit is the first to occur in the channel bit stream, the status  
17 of the current DSV control bit is null. Hence, the decision at step 225 is  
18 affirmative and the current DSV control bit changes to the status of next DSV  
19 control bit (step 229). The binary 1 of the 23rd bit position is set as a  
20 temporary value. If a DSV control bit of second occurrence appears, the  
21 binary 1 of this control bit is set as a temporary value and the decision at step  
22 225 is negative and the value of the DSV control bit at the 23rd position is  
23 finally determined at steps 227, 228 according to the DSV1 and DSV2  
24 parameters. Therefore, if  $|DSV1|$  is smaller than  $|DSV2|$ , the DSV control  
25 bit of the 23rd position is finally determined as binary 1 (unaltered) at step  
26 227, allowing the 010000 code word to be transmitted intact. If  $|DSV1|$  is  
27 equal to or greater than  $|DSV2|$ , the DSV control bit is reset to the binary 0 of  
28 step 228, causing a 000000 code word to be transmitted, instead of the 010000  
29 code word. If there is no DSV control bit that follows the DSV control bit of  
30 the 23rd position, the value of DSV control bit at the 23rd position is finally

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1 determined at the end of data bit stream (steps 233, 234) according to the  
2 DSV1 and DSV2 parameters derived from the channel bits that precede the  
3 end point of data. Therefore, if  $|DSV1|$  is smaller than  $|DSV2|$ , the DSV  
4 control bit is unaltered at step 233 and if  $|DSV1|$  is equal to or greater than  
5  $|DSV2|$ , the DSV control bit is reset to binary 0 at step 234.

6 In a second embodiment of the present invention, a main conversion  
7 111 and a sub-conversion table 121 of Figs. 7A, 7B are used, instead of the  
8 tables 110 and 120 of Figs. 2A, 2B. Sub-conversion table 121 differs from the  
9 table 120 in that the three higher significant channel bits (000) of table 121  
10 correspond to the three lower significant channel bits (000) of the previous  
11 embodiment and the three lower significant channel bits of table 121  
12 correspond to the three higher significant bits of the previous embodiment.  
13 In this embodiment, the flowchart of Fig. 8 is used, which differs from the  
14 flowchart of Fig. 3 in that step 223 of Fig. 3 is replaced with step 401.

15 If the main conversion table 111 (which is the same as table 110) is  
16 used (step 203) and the two higher significant data bits of the four-bit data  
17 word are converted to a "010" code word (steps 221, 222), step 401  
18 determines whether the following data bits coincide with a bit pattern of the  
19 sub-conversion table 121. If this is the case, flow proceeds to step 224 to set  
20 the center bit position of the 010 code word as the next DSV control bit.  
21 Otherwise, flow proceeds to step 205 for updating the DSV parameters.

22 If a 22-bit input stream of "01. 00. 11. 1000. 11. 10. 1001. 0001" is  
23 supplied to the shift register 1, these data bits will be converted to a 33-bit  
24 output stream of "100. 101. 010. 000001. 010. 001. 000010. 000100", as shown in  
25 Fig. 9, with the eighth bit position of the channel bit stream being set as the  
26 position of a DSV control bit. The binary 1 of this DSV control bit is set as a  
27 temporary value and finally determined at the time a succeeding DSV control  
28 bit appears in the channel bit stream and hence steps 226, 227, 228 are  
29 executed, or at the end of data bit stream when steps 232, 233, 234 are  
30 executed.

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1           The second embodiment of the present invention can be modified as  
2 shown in the flowchart of Fig. 10, in which parts corresponding in  
3 significance to those of Fig. 8 are marked with the same numerals and the  
4 description thereof omitted. In this modification, the parameter update  
5 subroutine is executed immediately following step 221, and steps 501, 502  
6 and 503 are provided to be executed following step 204.

7           If the main conversion table 111 is used for data modulation (step 221),  
8 flow proceeds to step 205 to immediately perform the DSV update  
9 subroutine. If the sub-conversion table 121 is used (step 204), the DSV  
10 controller 7 proceeds to step 501 and examines the 6-bit code word to see if it  
11 matches a predetermined code word "000010". If they match, flow proceeds  
12 to step 502 to check to see if the main table is used to convert the following  
13 data bits. If this is the case, flow proceeds to step 503 to set the fifth higher  
14 significant bit position of the 6-bit code word as the next DSV control bit, and  
15 flow proceeds to decision step 225.

16           If a 22-bit input stream of "01. 00. 11. 1000. 11. 10. 1001. 00. 11" is  
17 supplied to the shift register 1, these data bits will be converted to a 33-bit  
18 output stream of "100. 101. 010. 000001. 010. 001. 000010. 101. 010", as shown  
19 in Fig. 11, with the 26th bit position of the channel bit stream being set as the  
20 position of a DSV control bit. The binary 1 of this control bit is set as a  
21 temporary value, and finally determined at the instant a subsequent DSV  
22 control bit appears and hence steps 226, 227, 228 are executed, or at the end of  
23 data bit stream and steps 232, 233, 234 are executed.

24           The first embodiment of the present invention can also be  
25 implemented using a conversion table 130 as shown in Fig. 12. In the table  
26 130, the symbol "X" indicates a "don't-care" bit, i.e., it can assume the value  
27 of either "0" or "1", and the symbols S0 and S1 are status indicators to be  
28 selected by a table access module 12. Data and channel bits in the conversion  
29 table 130 are organized into two groups according to the status indicators S0  
30 and S1 of previous state and into three groups according to the status



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1 indicators S0, S1 and S0 of next state. Further, the channel bits of the S0  
2 current state and the S1 next state correspond to the first three bits of 6-bit  
3 code words stored in the sub-conversion table 120 (Fig. 2B) and the channel  
4 bits of the S1 of the previous state correspond to the second three bits of the 6-  
5 bit code words.

6 Table access module 12 operates as an interface to the conversion table  
7 130 and receives four parallel data bits from a shift register 11, which is  
8 always shifted two bits at a time. The four data bits from the shift register 11  
9 are equally divided into higher and lower significant data bits in the  
10 conversion table 130. Since data bits in the shift register 11 are shifted two  
11 bits at a time, the higher significant bits of a given four-bit data word become  
12 the lower significant bits of a subsequent four-bit data word. Table access  
13 module 12 uses all four data bits of a data word from the shift register 11 to  
14 detect a corresponding 3-bit code word mapped in the table 130 and converts  
15 the two higher significant bits of this 4-bit data word to the corresponding 3-  
16 bit code word. In response to the shift register 11 being shifted two bits, a 3-  
17 bit code word is delivered from the table access module 12 to a buffer 15. A  
18 series of such 3-bit code words forms a channel bit stream in which no  
19 consecutive bits 1's occur.

20 A next-state memory 14 is connected to the table access module 12 to  
21 store the status indicator of next state. A DSV controller 16 is responsive to  
22 the 3-bit code word and the next-state status indicator from the table access  
23 module 12 for controlling the DSV control bit of the channel bit stream stored  
24 in the buffer 15.

25 At the instant an input data bit stream is supplied to the data  
26 modulation apparatus, the table access module 12 is set in an initial state in  
27 which it selects the status indicator S0.

28 The operation of the data modulation apparatus of Fig. 12 proceeds  
29 according to the flowchart of Fig. 13 in which parts corresponding in  
30 significance to those in Fig. 3 are marked with the same numerals and the

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1 description thereof is omitted. When initialization step 201 is performed,  
2 flow proceeds to step 601 to convert four parallel data bits from the shift  
3 register 11 into a 3-bit code word. Since the access module 12 is initially set in  
4 the S0 state, the status indicator S0 is used a search key for converting the  
5 four data bits to three channel bits other than "000". Depending on the group  
6 of the converted channel bits, the next state is selected and supplied through  
7 the access module 12 to the memory 14. Following step 601, step 222 is  
8 executed to determine if the converted channel bits are "010". At step 602,  
9 the apparatus makes a decision as to whether the previous state is S1. If the  
10 previous state is S1, flow proceeds to step 603 to check to see if the next state  
11 is S0. Therefore, the decision of step 602 in Fig. 13 is equivalent to the  
12 decision of step 223 of Fig. 3 which determines whether the sub-conversion  
13 table was used for the immediately preceding conversion. Further, the  
14 affirmative decisions at step 222 and 603 combined are equivalent to the  
15 decision that the "010" of main conversion table was previously used. If the  
16 decision at step 603 is affirmative, flow proceeds to step 224 to set the center  
17 bit of "010" code word as the position of a DSV control bit. Otherwise, flow  
18 proceeds from step 603 to step 205.

19 In the previous embodiments, code words "000" and "000000" are  
20 used for replacing the code words "010" and "010000" when their DSV  
21 control bit is altered to "0" bit. As a result, a long string of consecutive zero's  
22 can occur often compared to the prior art. For example, in the case of the first  
23 embodiment, a data bit stream "0001111011" is converted to a channel bit  
24 stream "1000000X0001010", where the symbol X represents a DSV control bit.  
25 If the binary of the DSV control bit is finally set equal to "0", binary 0 appears  
26 ten times in sequence. In the first embodiment, binary 0 never appears  
27 consecutively eleven times or more. Hence, the run length constraint of the  
28 first embodiment is (1, 10). The same applies to the other embodiments.  
29 Therefore, when the (1, 10) run length code is recorded using the NRZI  
30 format, a recording pattern with the length equal to or greater than 2T and

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1 equal to or smaller than  $11T$  appears, where  $T$  is the length of a channel bit.

2 If it is desired to constrain the maximum number of zero's in the  
3 channel bit stream to "7, 8 or 9", the DSV controller may be modified in such  
4 a manner that it monitors the channel bit stream in the buffer 6 for detecting a  
5 bit sequence having a predetermined number of consecutive zero's which has  
6 been formed due to the replacement of the detected code "010" with the  
7 substitute code word "000" (i.e., in the case of the first embodiment). If such  
8 an all-zero bit sequence is detected, the DSV controller restores the original  
9 code word "010". Since this restoration results in a candidate DSV control  
10 bit being discarded, the d.c. content of the channel bit stream may somewhat  
11 be sacrificed. However, the length of a recorded "mark" is constrained and a  
12 greater freedom is given to selecting a synchronization pattern which will be  
13 described later.

14 In the case of the first embodiment, a data bit stream "0011001100...."  
15 is converted to a channel bit stream "1010101010101...". This results in a  
16 long series of 2T-bit patterns on a recording disc. Due to inter-symbol  
17 interference, difficulty arises to extract clock information from such a long  
18 series of 2T-bit patterns. However, this problem can be avoided by replacing  
19 a 2T-bit pattern "X01. 010. 101. 010. 10X" with a substitute bit pattern "X01.  
20 000. 000. 000. 10X" since it can be shown that the code word "000" never  
21 occurs consecutively three times in any channel bit stream.

22 A data modulation apparatus, shown in Fig. 14, is to implement this  
23 bit pattern replacement scheme. In Fig. 14, parts corresponding in  
24 significance to those in Fig. 1 are marked with the same numerals. The  
25 apparatus additionally includes a shift register 21 connected between the  
26 selector 5 and the buffer 6. The output of selector 5 is stored in the shift  
27 register 21 and shifted along three bits at a time to the buffer 6. The internal  
28 stages of shift register 21 are connected to a comparator 22. Comparator 22  
29 constantly monitors the shift register 21 for detecting when its bit pattern  
30 matches a 2T-bit pattern "X01. 010. 101. 010. 10X" stored in a memory 23. A

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1 substitute bit pattern "X01. 000. 000. 000. 10X" is stored in a memory 24  
2 connected to the shift register 21. When the comparator 22 detects a match  
3 between the shift register 21 and the memory 23, the comparator commands  
4 the shift register 21 to replace its contents with the bit pattern of memory 24.  
5 Instead of the 2T-bit pattern, the substitute bit pattern is forwarded to the  
6 buffer 6 as well as to the DSV controller 7. Since it can be shown that a DSV  
7 control bit never appears in the substitute bit pattern, it is not necessary to  
8 produce a particular code for indicating such replacement. DSV controller 7  
9 operates in the same way as that described previously.

10 If it is desired that the substitute bit pattern includes a maximum of 10  
11 consecutive zeros, rather than 9, a substitute bit pattern of "010. 000. 000. 000.  
12 10X" may be used to replace a 2T-bit pattern of "010. 010. 101. 010. 10X" as  
13 shown in Fig. 15A. Additionally, a substitute bit pattern of "X01. 000. 000.  
14 000. 010" may be also used to replace a 2T-bit pattern of "X01. 010. 101. 010.  
15 010" as shown in Fig. 15B. In this way, the number of 2T-bit patterns that  
16 occur consecutively can be constrained to the maximum of 6.

17 A special synchronizing bit sequence may be inserted at regular  
18 intervals in a channel bit stream to allow a data demodulation apparatus to  
19 distinguish the boundary between successive channel bit streams or regain  
20 synchronism when it loses synchronization due to lack of sufficient clock  
21 information.

22 The data modulation apparatus of Fig. 1 is modified as shown in Fig.  
23 16 to implement a synchronized encoder/decoder system. In this  
24 modification, the data modulation apparatus includes a format controller 31,  
25 a sync pattern table 32 and a multiplexer 33. Format controller 31 supplies a  
26 timing signal to the coincidence detector 31 for indicating the timing of a 24-  
27 bit sync pattern to be inserted to the channel bit stream. Coincidence detector  
28 31 determines the position of the channel bit stream where the sync pattern  
29 will be inserted. In order to prevent a 6-bit code word from being separated  
30 by a sync pattern, the coincidence detector 31 controls the selector 5 to select

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1 the output of main converter 2 regardless of the instantaneous value of the  
2 input data bit stream.

3 As shown in Fig. 17, eight 24-bit sync patterns SY0 ~ SY7 of even-  
4 numbered 1's and eight 24-bit sync patterns SY0 ~ SY7 of odd-numbered 1's  
5 are stored in the table 32. Each sync pattern comprises a header portion of  
6 15-bit equal pattern "010. 000. 000. 000. 001" and a tail portion of 9-bit unique  
7 pattern. Since the sync patterns of Fig. 17 indicate that their header and  
8 trailer channel bits are both "0", the channel bit stream satisfies its run length  
9 constraint even though the output of main converter 2 is selected both at the  
10 beginning and ending points of a sync pattern.

11 Additionally, the format controller 31 supplies a command signal to  
12 the sync pattern table 32 to specify one of a plurality of 24-bit sync patterns  
13 (SY0 ~ SY7) according to the amount of offset from the starting point of a  
14 sector on a recording disc.

15 In response to the command signal from the format controller, the sync  
16 pattern table 32 supplies the DSV controller 7A with even- and odd-  
17 numbered sync patterns specified by the command signal. DSV controller 7A  
18 uses the sync patterns to update the DSV1 and DSV2 parameters. Further,  
19 according to the relative values of the updated DSV1 and DSV2, the DSV  
20 controller 7A determines the value of the preceding DSV control bit and  
21 selects one of the even- and odd-numbered sync patterns, as illustrated in  
22 detail in Figs. 18 and 19. DSV controller 7A instructs the sync pattern table 32  
23 to supply the selected pattern to the multiplexer 33 where it is multiplexed  
24 with channel bit streams from the buffer 6. Coincidence detector 4 further  
25 supplies a timing control signal to the DSV controller 7A that indicates the  
26 timing for an interrupt routine performed according to the flowchart of Fig.  
27 18.

28 In Fig. 18, the DSV controller 7A performs an interrupt routine on the  
29 sync patterns supplied from the table 32 in response to the timing control  
30 signal supplied from the coincidence detector 4 in order to update the DSV

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1 parameters. Note that this timing control signal does not indicate the actual  
2 timing for multiplexing a 24-bit sync pattern with the channel bit stream by  
3 the multiplexer 33, but it is the timing for determining the DSV and POL  
4 parameters for a sync pattern to be subsequently multiplexed with the  
5 channel bit stream. Therefore, a 24-bit sync pattern is not multiplexed until  
6 an odd/even decision is made by the routine of Fig. 19.

7       The interrupt routine of Fig. 18 begins with step 701 to read the higher  
8 significant 15 bits of the sync patterns and sets a variable "i" to "15" (step  
9 702). At step 703, the DSV controller reads the value of the i-bit position of  
10 the 15-bit synchronizing sequence and determines whether it is "1" or "0". If  
11 the binary at i-th bit position is "1", the polarity parameters POL1 and POL2  
12 are reversed in polarity at step 704 and the DSV1 and DSV2 parameters are  
13 respectively summed with POL1 and POL2 at step 705. If the binary at i-th  
14 bit position is "0", flow proceeds to step 705 to update the DSV1 and DSV2  
15 parameters with non-reversed POL1 and POL2 parameters. The variable "i"  
16 is decremented by one at step 707 to repeat the process until the variable  
17 equals unity (step 706).

18       At step 708, the DSV controller 7A examines the status of DSV control  
19 bit. If the status of the current DSV control bit is null, flow proceeds to step  
20 712. Otherwise, flow proceeds to step 709 to determine the relative values of  
21 DSV1 and DSV2. If  $|DSV1|$  is smaller than  $|DSV2|$ , flow proceeds to step  
22 710 to set "1" to the preceding DSV control bit and copy POL1 to POL2 and  
23 copy DSV1 to DSV2. If  $|DSV1|$  is equal to or greater than  $|DSV2|$ , flow  
24 proceeds to step 711 to set "0" to the preceding DSV control bit and copy  
25 POL2 to POL1 and copy DSV2 to DSV1, and advances to step 712.

26       At step 712, the lower significant 9 bits of even-numbered sync pattern  
27 are read and a variable "j" is set to "9" (step 713). Parameters POL1 and  
28 DSV1 are updated using the 9-bit even-numbered bit sequence. If the binary  
29 of bit position "j" is "1" (step 714), POL1 is reversed (step 715) and DSV1 is  
30 updated with the reversed POL1 (step 716). If the binary of bit position "j" is

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1 "0" (step 714), DSV1 is updated with non-reversed POL1 (step 716). The  
2 variable "j" is decremented by one at step 718 to repeat the process until the  
3 variable "j" equals unity (step 706).

4 DSV controller 7A proceeds to step 719 to read the lower significant 9  
5 bits of the odd-numbered pattern and sets a variable "k" to "9" (step 720). In  
6 this case, the parameters POL2 and DSV2 are updated using the 9-bit odd-  
7 numbered bit sequence. If the binary of bit position "k" is "1" (step 721),  
8 POL2 is reversed (step 722) and DSV2 is updated with the reversed POL2  
9 (step 723). If the binary of bit position "k" is "0" (step 721), DSV2 is updated  
10 with non-reversed POL2 (step 723). The variable "k" is decremented by one  
11 at step 725 to repeat the process until the variable "k" equals unity (step 724).  
12 When the DSV controller 7A makes an affirmative decision at step 724, it  
13 returns to the main routine.

14 DSV controller 7A selects one of the odd- and even-numbered bit  
15 sequences according to an interrupt routine illustrated in Fig. 19. This  
16 interrupt routine is performed at the instant immediately prior to each  
17 decision step (i.e., 225, 231, 708), where the decision is made as to whether the  
18 status of the DSV control bit is null.

19 At step 801, the controller 7A checks to see if decision has been made  
20 of an immediately preceding 24-bit sync pattern as to which of the odd- and  
21 even-numbered patterns should be used. If the odd/even decision has been  
22 made of a sync pattern which precedes the current odd/even decision routine,  
23 it is determined that there is no outstanding sync pattern and flow returns to  
24 the point of the main routine where it was interrupted. If the odd/even  
25 decision has still not been made of the preceding sync pattern, flow proceeds  
26 to step 802 to determine the relative values of the DSV1 and DSV2 parameters  
27 which were previously determined by Fig. 18. If  $|DSV1|$  is smaller than  
28  $|DSV2|$ , flow proceeds to step 803 to select the even-numbered sync pattern  
29 and copy POL1, DSV1 to POL2, DSV2, respectively (step 804). At step 805,  
30 the DSV control bit is set to the null state, and returns to the starting point of

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1 the main routine. If  $|DSV1|$  is equal to or greater than  $|DSV2|$ , flow  
2 proceeds to step 806 to select the odd-numbered sync pattern and copy POL2,  
3 DSV2 to POL1, DSV1, respectively (step 807), and proceeds to step 805.

4 When the DSV controller 7A makes an odd/even decision, it  
5 commands the sync pattern table 32 to supply the selected 24-bit sync pattern  
6 to the multiplexer 33.

7 It is seen that there is a difference between the times at which Figs. 18  
8 and 19 are executed. However, this timing difference is absorbed in the  
9 buffer 6. Each time a new channel bit stream is entered to the buffer 6, DSV  
10 calculation proceeds for multiplexing a 24-bit sync pattern at periodic  
11 intervals. The actual multiplex timing of a sync pattern is not finally  
12 determined until a next DSV control bit is determined or the multiplex timing  
13 of a next sync pattern is temporarily determined by the format controller 31.  
14 Until a sync pattern is finally determined, a channel bit stream is stored in the  
15 buffer 6. After transmitting the sync pattern, the stored channel bit stream is  
16 delivered from the buffer 6.

17 Fig. 20 is a block diagram of a data demodulation apparatus of the  
18 present invention. In the case of the first embodiment of the present  
19 invention, for example, the bit sequence "000. 000" is transmitted, instead of  
20 "000. 010", when the DSV control bit (i.e., the center bit of "010") is set to "0"  
21 when it is finally determined. In this case, the data demodulation apparatus  
22 must replace the transmitted bit sequence "000. 000" with a bit sequence "000.  
23 010".

24 Therefore, the data demodulation apparatus for use with the first  
25 embodiment of the present invention comprises a shift register 41 for  
26 receiving an input channel bit stream. A comparator 42 monitors the shift  
27 register 41 for detecting when a bit sequence "000. 000" has arrived by  
28 comparing the shift register contents with a "000. 000" bit sequence stored in  
29 a memory 43. If they match, the comparator 42 instructs the shift register 41  
30 to replace the stored bit sequence with a bit sequence "000. 010" stored in a



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1 memory 44.

2 The output of shift register 41 is supplied to a table access module 45  
3 to access a conversion table 46. Conversion table 46 maps 3-bit code words to  
4 corresponding 2-bit data words and 6-bit code words to corresponding 4-bit  
5 data words.

6 Shift register 41 is shifted six bits at a time when a 6-bit code word was  
7 converted in the table access module 45 during the immediately preceding  
8 process, and shifted three bits at a time if the higher three bits of an incoming  
9 6-bit code word are not followed by a 3-bit sequence "000".

10 If the data modulation apparatus of Fig. 14 is used, a bit sequence "000.  
11 000. 000" would be stored in the memory 44 for comparison with the input  
12 channel bit stream. If the same sequence is detected in the channel bit stream,  
13 it is replaced with a substitute bit sequence "010. 101. 010" which would be  
14 stored in the memory 43.

15 The channel bit stream of the present invention has the same coding  
16 rate  $2/3$  as the (1, 7) modulation and the number of zero's in the channel bit  
17 sequence is constrained in the range between 1 and 10. As shown in Fig. 22,  
18 the power spectrum of present invention compares favorably with that of the  
19 (1, 7) modulation. Compared with the prior art, it is seen that in the present  
20 invention more than 20 dB is suppressed in power density at normalized  
21 frequency 0.0001 (i.e.,  $1.0E-4$ ). The low-frequency components of signals  
22 reproduced from a recording disc are reduced significantly. Off-track  
23 variations due to recorded signal patterns can be avoided.